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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US87/02218 <b>(22) International Filing Date:</b> 2 September 1987 (02.09.87) <b>(31) Priority Application Number:</b> 905,825 <b>(32) Priority Date:</b> 10 September 1986 (10.09.86) <b>(33) Priority Country:</b> US  <b>(71) Applicant:</b> SMITHKLINE BECKMAN CORPORATION [US/US]; One Franklin Plaza, P.O. Box 7929, Philadelphia, PA 19103 (US). <b>(72) Inventors:</b> DALTON, Barbara, J. ; 129 Gerloff Road, Schwenksville, PA 19473 (US). REES, Robert, C. ; 93 Wollaton Road, Sheffield S71 ALF (GB). <b>(74) Agents:</b> CANTER, Carol, G. et al.; Corporate Patents and Trademarks, N-160, SmithKline Beckman Corporation, P.O. Box 7929, Philadelphia, PA 19101 (US).		<b>(81) Designated States:</b> AT (European patent), AU, BE (European patent), CH (European patent), DE (European patent), DK, FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> IMMUNOMODULATION  <b>(57) Abstract</b>  An immunomodulating pharmaceutical composition comprising an effective, leukocyte interferon production inducing amount of NS1 and a pharmaceutically acceptable carrier or diluent; and a method of modulating the immune response in a human or other animal in need thereof by inducing the production of leukocyte IFN which comprises administering an effective amount of NS1 to such human or animal.		

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-1-

TITLE  
IMMUNOMODULATION  
BACKGROUND OF THE INVENTION

This invention relates to a method of modulating the immune response in a human, or other animal, in need thereof by inducing the production of interferon (IFN) by leukocytes which comprises administering an effective amount of influenza A NS1 to such human or animal; and to an immunomodulating pharmaceutical composition comprising an effective, leukocyte interferon production inducing amount of NS1 and a pharmaceutically acceptable carrier or diluent.

Several studies using virus systems have shown that virions, and in some instances isolated viral proteins, can enhance natural cytotoxicity. [See, e.g., Bishop et al., J. Immunol., 131, 1849 (1983); Casali et al., J. Exp. Med., 54, 840 (1981); Harfast et al., Scand. J. Immunol., 11, 391 (1985); Alsheikhly et al., Scand. J. Immunol., 17, 129 (1983).] Using the influenza virus glycoproteins (haemagglutinin and neuraminidase components), enhanced natural cytotoxicity of human peripheral

1 blood mononuclear cells (PBMC) was demonstrated. [See,  
Arora et al., J. Virology, 52, 389 (1984).] This  
5 contrasts with recent observations that subunit influenza  
virus haemagglutinin, prepared by detergent solubiliza-  
tion, profoundly and irreversibly inhibits human natural  
cytotoxicity against K562 targets. [See, Ali et al.,  
Immunol, 52, 687 (1984).] The results of these studies  
10 suggest that different molecular structures may mediate  
these different or diverse biological effects, although a  
more precise definition of the mechanisms involved is  
needed.

It has been shown that virus-infected target  
cells are extremely sensitive to NK-mediated lysis. This  
enhancement of lytic activity is thought to be mediated by  
15 endogenously produced IFN, but it is not established  
whether IFN from the infected target or the effector cell  
population is responsible for increasing cytotoxicity,  
although it is recognized that human NK cells can produce  
IFN upon appropriate stimulation. Human NK cells enriched  
20 by discontinuous Percoll density gradient separation can  
be stimulated by intact virus particles (influenza A and  
HSV-1, NDV and Sendai viruses) to release IFN, mainly  
IFN $\alpha$ , although the production of IFN $\gamma$  has been  
observed with lymphocytes isolated from individuals  
25 seropositive for influenza A or CMV virus incubated with  
homologous viral antigen. [See, Djeu et al., J. Exp.  
Med., 156:1222 (1982) and Starr et al., Infection and  
Immunity, 30:17 (1980)]. In contrast, it was recently  
shown that detergent solubilized influenza virus  
30 haemagglutinin (HA) causes a profound and irreversible  
depression in human NK cytotoxicity. [See, Ali et al.,  
Immunol., 52:687 (1984)].

The function of NS1 and NS2 nonstructural  
proteins during influenza A viral infection is unclear.

1 It is interesting to note that NS1 has been detected on  
the surface of virus infected cells [See, Shaw et al., J.  
Exp. Med., 156, 243 (1982)], and, it has been demonstrated  
5 between NS1 proteins from influenza A virus strains of  
human, avian, porcine and equine origin. [See, Shaw et  
al., cited above and Morrongiello et al., Intervirology,  
8, 281 (1977).]

Young et al., "The Origin of Pandemic Influenza  
10 Viruses", W.G. Laver, editor, Elsevier Science Publishing  
Co., Inc. (1983), 129-137, review the cloning and expres-  
sion of influenza virus genes and disclose the expression  
of the NS1 protein in bacteria cells (E. coli strain N99)  
transformed with a pAS1 expression vector containing the  
15 NS gene of influenza virus strain A/PR/8/34 (H1N1).

Young et al., Proc. Natl. Acad. Sci., U.S.A., 80,  
6105-6109 (1983), disclose the expression of the NS1  
protein by cells of E. coli strain N5151 transformed with  
a pAS1 expression vector containing the NS gene of  
20 influenza virus strain A/PR/8/34 (H1N1). Young et al.  
also disclose that the protein expressed by the NS gene  
was extracted, purified and injected into rabbits whose  
serum was subsequently used for immunoprecipitation and  
immunofluorescence assays.

25 Shaw et al., J. Exp. Medicine, 156, 243-254  
(1982), disclose the purification of NS1 from cytoplasmic  
inclusions that were solubilized and used to raise  
antisera in rabbits; and also disclose that NS1 appeared  
to be highly conserved in different influenza A virus  
30 isolates. Shaw et al. state that since the NS1 antigen is  
expressed on the surface of infected cells, this suggests  
that an immune response to this protein could conceivably  
be of importance. Furthermore, Shaw et al. state that  
since there is extensive cross-reactivity in the NS1  
35 proteins produced by different influenza A virus sero-  
types, NS1 related antigens should be considered as

I possible targets for cross-reactive cytotoxic T cells generated during infection.

Shaw et al., Infection and Immunity, 34(3), 1065-1067 (1981), disclose that the influenza A virus 23,000 dalton nonstructural protein, NS1, can be detected by direct immunofluorescence on the surfaces of infected mouse cells as early as 4 hours after infection with the A/WSN (H1N1) strain of influenza A virus. Shaw et al. conclude that since their results strongly suggest the surface expression of NS1 protein or a structurally related molecule on influenza A virus-infected cells, and since antigenic cross-reactivity has been shown for nonstructural antigens induced by different influenza A serotypes, NS1-related antigens should be considered as possible targets for cross-reactive cytotoxic T cells generated during influenza A virus infection.

Djeu, Clin. Immunol. Allerg., 3(3), 561-568 (1983), reviews the production of interferon by human natural killer (NK) cells and discloses that a large number of biological agents, including influenza virus strain A/PC, induce the production of interferon (IFN) by natural killer cells. Djeu also states that "since a vast array of biological agents can induce rapid IFN production by NK cells, it is tempting to speculate that the first step in defense (sic) against invading agents is the production of IFN which produces self-activation of NK activity in LGL (NK cells)."

Tiensiwakul et al., Intervirology, 20, 52-55 (1983), disclose that purified adenovirus fiber protein (FP) (a B-cell mitogen) induced the synthesis of interferon in murine cells.

SmithKline Beckman Corporation, European Patent Application Publication Number EPO,176,493 A1, published April 2, 1986, claims a vaccine for stimulating protection in animals against infection by influenza virus which comprises a polypeptide, other than an HA protein, having

1 an immunogenic determinant of the HA2 subunit of an HA  
protein, wherein the immunogenic determinant is carried on  
a fusion protein having the N-terminal of the HA2 subunit  
fused to about 80 N-terminal amino acids of the NS1  
5 protein which carries the HA2 subunit to assume an  
immunogenic configuration. SmithKline Beckman Corporation  
also disclose the cloning and expression of a coding  
sequence for the influenza A virus matrix protein.

10 SUMMARY OF THE INVENTION

This invention relates to the discovery that the  
NS1 protein of influenza A virus can induce production of  
leukocyte interferon in an animal. More particularly,  
this invention relates to an immunomodulating  
15 pharmaceutical composition comprising an effective,  
leukocyte interferon production inducing amount of NS1 and  
a pharmaceutically acceptable carrier or diluent.

This invention also relates to a method of  
modulating the immune response in a human, or other  
20 animal, in need thereof by inducing the production of IFN  
by such human or other animals leukocytes which comprises  
administering an effective leukocyte interferon production  
inducing amount of NS1 to such human or other animal.

25 DETAILED DESCRIPTION OF THE INVENTION

By the term "leukocyte" is meant any circulating  
or tissue nonerythroid nucleated white blood cell.

Examples of such leukocytes include natural  
killer cells ("NK cells"), peripheral blood mononuclear  
30 cells ("PMBC"), monocytes, macrophages, polymorphonuclear  
cells and lymphocytes (e.g., B cells, T cells, NC cells, K  
cells, null cells).

Using recombinant DNA technology, cDNA copies of  
the 8 influenza A virus genomic RNA segments have been  
35 cloned, and several of these genes have been expressed



1 into Escherichia coli (E. coli) plasmid vectors. This  
method permits the production and isolation of individual  
viral components and derivatives which would otherwise not  
be available. A number of cloned influenza viral gene  
5 products were evaluated for their ability to influence  
human NK cell activity. The studies showed that the NS1  
protein, as well as fusion products containing a portion  
of the NS1 protein, induce the production of IFN by  
leukocytes such as NK cells.

10 As used herein, the term "NS1" means the  
polypeptide derived from the 230 amino acid coding  
sequence of the NS1 gene of influenza A virus or any  
functional derivative thereof. By the term "functional  
derivative" is meant fusion constructs containing a  
15 portion of the NS1 coding sequence linked to some other  
polypeptide coding sequence, such as but not limited to  
the haemagglutinin or matrix protein coding sequence,  
wherein said fusion polypeptide coding sequence is capable  
of inducing sufficient leukocyte interferon production to  
20 augment the immune response in an animal in need thereof.  
Preferably such fusion constructs contain at least about  
80 N-terminal amino acids of NS1 linked either at the C or  
N terminus to a polypeptide coding sequence such as but  
not limited to some portion of the haemagglutinin or  
25 matrix protein coding sequences. The haemagglutinin (HA)  
protein coding sequence of the influenza A virus is  
known. See, e.g., Winter et al., Nature, 292, 72-75  
(1981), who report a DNA coding sequence for HA of the  
influenza A virus strain A/PR/8/34 strain (H1N1). The HA  
30 gene product can be prepared synthetically or can be  
derived from influenza A virus RNA by known techniques.  
See, e.g., Emtage et al., U.S. Patent 4,357,421, who  
disclose the cloning and expression of a coding sequence  
for an influenza A virus HA gene. Also, various influenza  
35 A virus strains are available from clinical specimens and  
from public depositions such as those available from the

1 American Type Culture Collection, Rockville, Maryland,  
U.S.A. The matrix protein coding sequence of the  
influenza A virus is known. See, e.g., Winter et al.,  
5 Nucl. Acids Res., 8, 1965-1974 (1980). The matrix protein  
coding sequence product can be prepared synthetically or  
can be derived from influenza A viral RNA by known  
techniques. See, e.g., SmithKline Beckman Corporation,  
European Patent Application Publication Number  
10 EP 0,176,493 A1, who disclose the cloning and expression  
of a coding sequence for matrix protein.

Such fusion constructs can be prepared by  
conventional techniques. For example, plasmids containing  
cDNA copies of the viral RNAs of influenza A virus strain  
A/PR/8/34 [see, Young et al., The Origin of Pandemic  
15 Influenza Virus, Laver (Ed.), Elsevier Press, Amsterdam,  
p. 120 (1983)] can be manipulated as described in  
SmithKline Beckman Corporation, European Patent  
Application Publication Number EP 0,176,493 A1 to produce  
the N-terminal 81 amino acids of NS1 fused to the matrix  
20 protein coding sequence or fused to the HA coding sequence.

By the term "functional derivative" is also meant  
those derivatives of NS1 which substantially retain the  
leukocyte interferon inducing capacity of NS1. Such  
derivatives include, but are not limited to, functional  
25 derivatives prepared by the addition, deletion or  
substitution of any of the amino acids comprised by the  
NS1 coding sequence, and functional derivatives which are  
complexes of NS1 with other compounds or molecules. Such  
derivatives can be prepared by conventional techniques.  
30 However, it should be noted that a DNA fragment comprising  
only the first 81 amino acids of the NS1 coding sequence

1 did not retain the leukocyte interferon inducing capacity  
of the NS1 gene product. Thus, the term "functional  
derivative" as used herein does not include a DNA fragment  
consisting essentially of the first 81 amino acids of the  
5 NS1 coding sequence.

The coding sequence of NS1 is known. See, e.g.,  
Baez et al., Nucl. Acids Res., 8, 5845-5857 (1980), who  
report a DNA coding sequence for the nonstructural (NS)  
protein of influenza A virus strain A/PR/8/34. NS1 can be  
10 prepared synthetically or can be derived from influenza A  
viral RNA by known techniques. See, e.g., Young et al.,  
Proc. Natl. Acad. Sci. USA, 80, 6105-6109 (1983), who  
report cloning of cDNA from all eight RNA segments from  
influenza A virus strain A/PR/8/34 in E. coli and also  
15 report high level expression of the NS1 protein in E.  
coli. Also, various influenza A virus strains are  
available from clinical specimens and from public  
depositories, such as the American Type Culture  
Collection, Rockville, Maryland, U.S.A. Systems for  
20 cloning and expressing the NS1 gene product in various  
microorganisms and cells, including, for example, E. coli,  
Bacillus, Streptomyces, Saccharomyces, and mammalian and  
insect cells are known and are available from private and  
public laboratories, depositories and commercial vendors.

25 Interferon (IFN) has been shown to be a major  
component in determining the cytotoxicity status of  
natural killer (NK) cells both in vivo and in vitro.  
Other effects ascribed to interferons include their  
ability to augment macrophage and monocyte cytotoxicity,  
30 stimulate lectin-induced cytotoxicity and enhance  
antibody-dependent cell-mediated cytotoxicity (ADCC).  
This positive regulation of the host defenses may prove  
important as an in vivo mechanism for maintaining and  
promoting resistance against neoplasia and infection.

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- 1 Compounds which augment NK activity, such as poly I:C and  
C. parvum, are, in the main, potent inducers of IFN,  
although some agents, such as Interleukin II (IL-2),  
appear to augment NK cytotoxicity independent of the  
5 induction of detectable interferon levels.

The induction of leukocyte interferon production  
in an animal in need thereof leads to an augmentation of  
the cytotoxic activity of natural killer cells in such  
animal and is useful for the prophylactic treatment of  
10 malignant tumor metastasis, and viral and fungal diseases  
(See, Herberman, R.B. (Ed.), "NK Cells and Other Natural  
Effector Cells", Academic Press, 1982); or for the  
therapeutic treatment of malignant tumor metastasis, and  
viral and fungal infections (See, "NK Cells and Other  
15 Natural Effector Cells", cited above). The stimulation of  
the production of leukocyte IFN in a human or animal in  
need thereof is also useful for the prophylactic treatment  
of malignant neoplasms and organ metastasis, certain  
bacterial, viral or fungal infections; veterinary diseases  
20 (e.g. shipping fever), [See, e.g., Finter (Ed.),  
"Interferon 4: In Vivo and Clinical Studies"; Elsevier  
(1985)]; or for the therapeutic treatment of some types of  
cancer (leukemias, lymphomas, papillomas, sarcomas and  
carcinomas) as well as life threatening viral infections.

25 It has now been found that NS1 induces the  
production of IFN in leukocytes such as peripheral blood  
mononuclear cells (PBMC) which results in augmented human  
natural killing against a variety of target cell lines.  
The NS2 gene product did not have such effect. To  
30 determine the effect of the NS1 antigen, the A375 melanoma  
cell line was employed. The A375 melanoma cell line  
proved to be a reliable indicator cell for detecting  
enhanced natural cytotoxicity, and was relatively  
insensitive to spontaneous PBMC killing, and allowed  
35 discrimination between natural and activated cytotoxicity.

1           In summary, it has now been found that: (a) NS1  
or a functional derivative thereof, such as the protein  
product of fusion constructs containing the N-terminal 81  
amino acid sequence of the NS1 coding sequence linked to  
5 haemagglutinin or matrix protein sequences, induce IFN  
production by nylon wool non-adherent PBMC which augments  
natural-cell-mediated-cytotoxicity; (b) neither the  
induction of IFN nor augmentation of natural cytotoxicity  
by these stimulatory antigens is correlated with the  
10 presence of contaminating bacterial lipopolysaccharide or  
nucleic acid; (c) the majority of IFN released from PBMC  
by these stimulatory antigens was IFN $\alpha$  although 2-10% of  
the detectable interferon was IFN $\gamma$ ; (d) the augmentation  
of natural cytotoxicity by these stimulatory antigens was  
15 mediated through the release of IFN $\alpha$ , as shown by  
neutralization studies using specific anti-IFN $\alpha$  and  
anti-IFN $\gamma$  antisera; (e) PBMC fractionating in the low  
density regions on discontinuous percoll density gradients  
were shown to release IFN and to respond to IFN. The  
20 findings listed above suggest that blood monocytes and  
adherent lymphoid cells are not required for either IFN  
production or NK cell activation upon NS1 antigen  
stimulation; indeed, the presence of adherent cells  
appeared to be inhibitory to IFN production in vitro.

25           Although it is apparent from the findings listed  
above that the observed enhanced natural cytotoxicity of  
leukocytes such as NK cells is mediated primarily through  
the production of IFN $\alpha$ , it is conceivable that  
augmentation of cytotoxicity is the result of synergy  
30 between IFN $\alpha$  and other molecules present in culture  
supernatant. For example, recent reports have shown that  
interleukin 2 (IL-2) is a potent stimulator of NK cell  
cytotoxicity. To determine whether or not other molecules  
present in the culture supernatant were acting in synergy

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1 with the IFN $\alpha$  produced by NS1 antigen stimulation,  
supernatants derived from 18-hour PBMC cultures, incubated  
in the presence or absence of NS1 antigen, were assayed  
for the presence of IL-2 activity against a human IL-2  
5 dependent target (CTLL-20 cell line). There was no  
indication of the presence of IL-2 in culture supernatants  
from PBMC stimulated with NS1, and such findings lead to  
the conclusion that the observed enhancement of natural  
cytotoxicity by NS1 antigen stimulation is independent of  
10 IL-2 production.

This invention relates to an immunomodulating  
pharmaceutical composition comprising an effective,  
leukocyte interferon production inducing amount of NS1 and  
a pharmaceutically acceptable carrier or diluent. Such  
15 composition may be prepared by conventional techniques.  
For example, a pharmaceutical composition of this  
invention suitable for parenteral administration is  
prepared by admixing a desired amount of NS1 in sterile  
isotonic solution which is pH adjusted with an appropriate  
20 buffer to a pH of about 6.0. As another example, a  
pharmaceutical composition of this invention suitable for  
administration by inhalation is prepared by admixing a  
desired amount of NS1 with ethanol to obtain a solution  
(not to exceed 35% ethanol) which is then combined with a  
25 propellant, such as, but not limited to, a mixture of  
Freon 12 and 114, and a surfactant, such as, but not  
limited to, Span 85.

This invention also relates to a method of  
modulating the immune response in a human, or other  
30 animal, in need thereof by stimulating the production of  
leukocyte IFN which comprises administering an effective  
amount of NS1 to such human or other animal. An effective  
leukocyte interferon production inducing amount of NS1 can  
be administered to such human or animal in a conventional  
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1 dosage form prepared by combining such amount with a  
conventional pharmaceutically acceptable carrier or  
diluent according to known techniques. See, e.g., U.S.  
Patent Application Serial Number 759,785, filed July 29,  
5 1985. It will be recognized by one of skill in the art  
that the form and character of the pharmaceutically  
acceptable carrier or diluent is dictated by the amount of  
active ingredient with which it is to be combined, the  
route of administration and other well-known variables.  
10 NSI is administered to a human or other animal in need of  
immunomodulation in an amount sufficient to enhance the  
production of leukocyte IFN in such human or animal to an  
immune system augmenting extent. The route of  
administration may be oral, parenteral or by inhalation.  
15 The term parenteral as used herein includes intravenous,  
subcutaneous, intraperitoneal, rectal, vaginal,  
intramuscular and intralesional forms of administration.  
The daily oral or parenteral dosage regimen of NSI will be  
from about 0.05 to about 1.0 mg per kilogram (kg) of total  
20 body weight, preferably from about 0.05 to about 0.25  
mg/kg. The term "inhalation" as used herein includes  
intranasal and oral inhalation administration.  
Appropriate dosage forms for such administration, such as  
an aerosol formulation or a metered dose inhaler, may be  
25 prepared by conventional techniques. The preferred daily  
dosage amount of NSI is from about 0.5 mg/kg to about 1.0  
mg/kg when administered by inhalation. It will be  
recognized by one of skill in the art that the optimal  
quantity and spacing of individual dosages of a leukocyte  
30 interferon production inducing amount of NSI will be  
determined by the nature and extent of the condition being  
treated, the form, route and site of administration, and  
the particular patient being treated, and that such

1     optimums can be determined by conventional techniques. It  
will also be appreciated by one of skill in the art that  
the optimal course of treatment, i.e., the number of doses  
of a leukocyte interferon production inducing amount of  
5     NSI given per day for a defined number of days, can be  
ascertained by those skilled in the art using conventional  
course of treatment determination tests.

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EXAMPLES

1 Without further elaboration, it is believed that  
one skilled in the art can, using the preceding descrip-  
tion, utilize the present invention to its fullest  
5 extent. The following Examples are, therefore, to be  
construed as merely illustrative and not a limitation of  
the scope of the present invention in any way. All  
temperatures are in degrees centigrade (Celsius).

MATERIALS AND METHODSI. NK-Cell-Mediated Cytotoxicitya) Target Cells.

The target cells used in the NK-cell-mediated  
15 cytotoxicity assay were the myeloid leukemia K562 cell  
line [See, Luzzio et al., Blood, 45, 326 (1975)], the  
adherent human melanoma cell line A375, two colorectal  
carcinoma lines (SW742 and COLO205) and the RAJI  
(Burkitt's lymphoma) cell line. K562 and RAJI cells were  
20 grown as suspension cultures in RPMI 1640 medium supple-  
mented with 10% fetal calf serum (RPMI-FCS) and were  
subcultured as necessary. RPMI medium is available from  
M.A. Bioproducts, Walkersville, Maryland. FCS is  
available from Hyclone Laboratories, Sterile Systems,  
25 Logan, Utah. The A375 and COLO205 target lines were grown  
as monolayer cultures in Eagles minimum essential medium  
supplemented with 2% essential amino acids, 2% vitamin  
mix, 1% nonessential amino acids, 1% sodium pyruvate, 1%  
glutamine (200 mM) and 10% fetal calf serum (complete - C  
30 -MEM). The SW742 cells were grown as adherent cells in  
RPMI-FCS medium. Adherent cell lines were subcultured 1-5  
times twice weekly, following disruption of the cell sheet  
with trypsin-EDTA. All cell lines were mycoplasma-free,  
and iso-enzyme analysis showed them to be of human

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1 origin. Twenty-four hours prior to use in cytotoxicity  
assay, sub-confluent flasks of A375, and SW742 and COLO205  
target cells were subcultured 1 to 2. For use as targets  
in cytotoxicity tests, the adherent cell lines were  
5 removed with trypsin-EDTA, washed twice in RPMI-FCS and  
labelled with  $^{51}\text{Cr}$  ( $\text{Na}_2^{51}\text{CrO}_4$ ).

b) Effector Cells.

Peripheral blood mononuclear cells (PBMC) were  
separated from heparinized blood (10 Units/ml) from normal  
10 healthy individuals by centrifugation on ficoll-hypaque  
density gradients [See, Boyum, Scand. J. Clin. Lab.  
Invest. (Suppl.), 21, 77 (1968)]. PBMC recovered from the  
interface fraction were washed 3 times in RPMI-FCS medium,  
and except where noted, were loaded onto nylon wool  
15 columns [See, Julius et al., Europ. J. Immunol., 3, 645  
(1973)]. The non-adherent lymphocytes (50-70% of the  
input population) were recovered using a modified  
separation technique [See, Rees et al., Int. J. Cancer,  
15, 762 (1975)], washed 3 times in RPMI-FCS medium and  
20 used in experiments.

Nylon wool non-adherent PBMC were enriched for large  
granular lymphocytes using seven-step percoll density  
gradients that were prepared by the method previously  
described (40% to 57%) [See, Timonen, J. Immunol. Methods,  
25 51, 269 (1982)]. Effector cells ( $5 \times 10^7$  cells in 1.5  
ml volume) were layered onto the gradient, which was  
centrifuged at room temperature at  $550 \times g$  for 30  
minutes. Interface fractions were collected, washed 3  
times in RPMI-FCS medium and used in cytotoxicity assays.

30 Cytospin preparations of cells recovered from percoll  
fractions were stained by Giemsa and identified morpho-  
logically. Cell types were characterized as large lympho-  
cytes (LL), large granular lymphocytes (LGL), small

I lymphocytes (SL), monocytes (M) or neutrophils (N) and the number (%) of identifiable cell types in the percoll fractions (Fr) was as follows:

	Fr 2/3;	LL,66;	LGL,47;	SL,22;	M,6;	N,0
5	Fr4;	LL,23;	LGL,3;	SL,76;	M,0;	N,1
	Fr5;	LL,0;	LGL,0;	SL,96;	M,0;	N,1
	Fr6;	LL,0;	LGL,0;	SL,88;	M,0;	N,2

Similar distributions of cell subpopulations were obtained upon repeat fractionation of PBMC before or following  
 10 activation by viral antigens; the majority of LGL's were recovered from Fr 2/3, whereas Fr5 and 6 consisted of enriched SL's.

(c) 4-Hour Chromium-51 Release Test.

Target cells in a 0.2 ml volume were labelled for  
 15 1 hour at 37°C with 200 µCi of <sup>51</sup>Cr as sodium chromate (NA<sub>2</sub><sup>51</sup>CrO<sub>4</sub>) (New England Nuclear, Boston, MA), washed 3 times in RPMI-FCS medium, resuspended in 10 ml of medium and incubated for a further one hour at 37°C.

Cytotoxicity tests were performed in triplicate in round  
 20 bottomed microtest wells (Catalogue 76-042-03, Flow Laboratories, Inc., McLean, VA). Effector cells (0.1 ml per well) were incubated with target cells (0.1 ml per well) at ratios of 20 to 1, 10 to 1, and 5 to 1 and the plates incubated at 37°C for 4 hours in a humidified 5%  
 25 CO<sub>2</sub> atmosphere. The plates were then centrifuged at 200 g for 5 minutes and 0.1 ml of the supernatant removed and counted for radioactivity in a gamma spectrophotometer. The percent chromium-51 release was determined for each group following subtraction of the spontaneous release,  
 30 and the percent cytotoxicity calculated by the formula:

$$\text{Percent Cytotoxicity} = \frac{(\text{Test release}) - (\text{Spontaneous release})}{100 - (\text{Spontaneous release})} \times 100$$

- 1 The background percent release during the 4-hour incubation  
period ranged from 5 to 10 percent for K562 and RAJ1 target  
cells and between 5 and 18 percent for A375, COLO205 and  
SW742 targets. Statistical analysis was performed by  
5 Student's 't' test where appropriate.

## II. Monocyte Mediated Cytotoxicity

### a) Target Cells.

- 10 The target cells used in the monocyte mediated  
cytotoxicity assay were the adherent human melanoma cell  
line A375 maintained as described above. The cells were  
labeled during overnight incubation in the presence of  
fresh media containing 0.3  $\mu\text{Ci/ml}$   $^{125}\text{I}$ UdR. Cells were  
trypsinized and washed prior to use in the cytotoxicity  
15 assay.

### b) Effector Cells.

- PBMC were isolated from Red Cross Buffy Coats  
using ficoll-hypaque density gradients described above.  
Monocytes, separated from PBMC on Percoll density  
20 gradients [See, Colotta et al. J. Immunol., 132, 936  
(1984)], were washed three times in RPMI medium and plated  
in 96 well flat bottom microtiter plates at a density of  $2 \times 10^5$   
monocytes/well. Nonadherent cells were removed by  
washing three times with warm RPMI after one hour  
25 incubation at  $37^\circ$ , 5%  $\text{CO}_2$ .

### c) Monocyte Cytotoxicity Assay.

- The cytotoxicity assay was performed as  
previously described [See, Klinerman, E.S., et al J.  
Clim. Invest. 72, 304 (1983)]. Briefly, monocytes were  
30 incubated with antigen overnight; antigen was removed and  
 $10^4$  labeled target cells were added to each well.

- 1 Effector and target cells were incubated at 37°, 5% CO<sub>2</sub> for three days. Cytotoxicity was assessed by determining the residual adherent cell associated radioactivity. The cultures were washed three times, lysed in 50 µl 0.5 M NaOH, and the cell lysate was absorbed onto cotton swabs that were then counted in a gamma spectrophotometer. The percent cytotoxicity was calculated by the formula:

$$10 \quad \% \text{ Specific Cytotoxicity} = \frac{\text{cpm in target cells cultured with control monocytes} - \text{cpm in target cells cultured with test monocytes}}{\text{cpm in target cells cultured with control monocytes}} \times 100$$

### III. Anti-Human Interferon Sera.

- 15 Sheep anti-human leukocyte IFN globulin that has a high neutralization titer against IFNα (7.5 x 10<sup>5</sup> units/ml) and a low titer against IFNβ (2x 10<sup>3</sup> units/ml) was prepared as described [See, Dalton et al., Methods in Enzymology, 79, 561 (1981)]. Sheep anti-human fibroblast IFNβ globulin with 1.2 x 10<sup>4</sup> neutralizing units/ml was prepared following similar procedures using human fibroblast IFN (SA = 1 x 10<sup>6</sup> U/mg protein) that was purchased from the Rega Institute (Leuven, Belgium) as immunogen. Monoclonal mouse anti-human IFNγ ascitic fluid (1.2 x 10<sup>6</sup> neutralizing units per ml) was purchased from Meloy Laboratories (Springfield, VA 22151, USA). Control antisera for the sheep globulins were prepared by immunizing sheep with contaminants which had been removed for the interferon preparations during purification, and a nonimmune ascites fluid was the control for the anti-human IFNγ. The following IFNs were used as specificity controls for the antisera: human leukocyte interferon (IFN-α)(PIF 7901), produced in peripheral blood leukocytes stimulated with Sendai virus and partially purified to a specific activity (SA) of 1 x 10<sup>6</sup> units/mg
- 35

1 protein, was a gift from Kari Cantell, State Serum  
Institute, Helsinki, Finland; human fibroblast IFN  
(IFN- $\beta$ ), SA =  $1 \times 10^6$  units/mg protein, was obtained  
5 from the Rega Institute (Leuven, Belgium); IFN- $\gamma$ ,  
produced in human peripheral blood leukocytes induced with  
A23187 and mezerine (SA =  $>1 \times 10^6$  units/mg protein),  
was obtained from Meloy Laboratories (Springfield, VA  
22151, USA).

10 IV. Assay for Interferon.

The antiviral activity of the IFN was determined  
in WISH cells seeded in microtiter plates challenged with  
encephalomyocarditis virus (multiplicity of infection =  
0.3), using modifications of previously described methods  
15 (35, 36). Interferon titers are expressed in terms of  
appropriate reference standards for human IFNs distributed  
by the Research Resources Branch, National Institutes of  
Allergy and Infectious Diseases, Bethesda, MD (HuIFN $\alpha$ ,  
G-023-901-527; HuIFN $\beta$ , G-023-902-527; HuIFN $\gamma$ , Gg  
20 23-901-530).

V. Synthesis and Purification of Influenza Virus  
Proteins Expressed in E. Coli.

Influenza A virus-specific polypeptides were  
25 synthesized using the pAS1 E. coli expression vector  
described previously [See, e.g., Rosenberg et al., Methods  
in Enzymology, 101, 123 (1983); Shatzman et al.,  
Experimental Manipulation of Gene Expression, M. Inove  
(Ed.) Academic Press (N.Y.), p. 1 (1983); Young et al.,  
30 Proc. Natl. Acad. Sci., U.S.A., 80, 6105 (1983)]. pAS1 is  
available without restriction from the American Type  
Culture Collection, Rockville, Maryland, under accession  
number ATCC 39261. Briefly, plasmids containing cDNA

35

1 copies of the viral RNAs of A/PR/8/34 virus [See, Young et  
al., The Origin of Pandemic Influenza Virus, Laver (Ed.),  
Elsevier Press, Amsterdam, p. 120 (1983)] were  
5 manipulated according to the methods described in Maniatis  
et al., "Molecular Cloning", Cold Spring Harbor Laboratory  
(1982); to obtain expression of the following products:  
the 230 amino acid coding sequence of NS1 [See, Young et  
al., Proc. Natl. Acad. Sci., U.S.A. cited above] and NS2  
10 nonstructural proteins; C7, the mature HA sequence  
containing both HA1 and HA2; Δ7, the HA1 sequence and  
the N-terminal 69 amino acids of the HA2 sequence; C36,  
the 222 amino acid HA2 sequence; C13, the N-terminal 81  
amino acids of NS1 fused to HA2; Δ13, the N-terminal 81  
15 amino acids of NS1 fused to the N-terminal 69 amino acids  
of HA2; M45, the N-terminal 81 amino acids of NS1 fused to  
the matrix protein; M30, the N-terminal 81 amino acids of  
NS1 fused to the C-terminal 50 amino acids of the matrix  
protein; and M42, the N-terminal 81 amino acids of NS1  
20 fused to the N-terminal 90 amino acids of the matrix  
protein and 86 amino acids derived from an open reading  
frame in the tetracycline resistance region.

A detailed description of the constructions of  
plasmids containing NS1, NS2, C7, Δ7, C13, Δ13, M45,  
M30 and M42 and the tetracycline resistance region is  
25 found in SmithKline Beckman Corporation, European Patent  
Application Publication Number EP-O-176,493,A2, the entire  
disclosure of which is hereby incorporated by reference.

The bacteria containing the plasmids encoding the  
proteins described above were grown and induced to  
30 synthesize these polypeptides [See, Rosenberg et al.,  
Shatzman et al., and Young et al., Proc. Natl. Acad. Sci.  
cited above]. Total bacterial cell extracts were prepared  
following lysozyme treatment, sonication, and  
centrifugation. The NS1 protein was contained in the  
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1 supernatant fraction and purified as described previously  
[See, Young et al., Proc. Natl. Acad. Sci. cited above].  
All other influenza virus proteins produced in this manner  
were contained in the pellet fraction following  
5 centrifugation. These proteins were further purified by  
two 0.1% deoxycholate extractions and one extraction with  
1% Triton X-100 to remove contaminating E. coli proteins.  
The viral polypeptide aggregates were then solubilized in  
4 M urea at 4°C for 30 minutes. They were then dialyzed  
10 extensively against 50 mM Tris-HCL, pH 8.0, 1 mM  
ethylenediamine tetraacetic acid to remove the urea.  
Following this treatment the proteins remained soluble and  
were greater than 80% pure as determined by Coomassie blue  
staining of samples electrophoresed on SDS-polyacrylamide  
15 gels.

Mock protein preparations of both the supernatant and  
pellet fractions were prepared in parallel from the same  
E. coli strain containing the expression vector without  
influenza virus sequences. These samples served as  
20 control preparations in several experiments.

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1     RESULTSI.   Effect of Cloned Influenza Viral Gene Products on  
Natural Cytotoxicity.

5           The proteins derived from influenza A virus cDNAs expressed in E. coli, as described above, included NS1, NS2, the HA (C7, Δ7, C36), HA sequences fused to the N-terminal 81 amino acids of NS1 (C13, Δ13), and the matrix protein fused to the N-terminal 81 amino acids of  
10   NS1 (M45, M42, M30). These purified antigen preparations were assayed for their effect on human nylon wool non-adherent PBMC natural cytotoxicity. In most studies the K562 and the A375 target cell lines were used to assay for natural cytotoxicity.

15          Initial experiments were performed using purified proteins at concentrations of 50 and 10 μg/ml. Following incubation with PBMC for 18 hours, the cells were harvested, and the overnight supernatant collected and stored at 4° for IFN assay. The cytolytic activity of  
20   PBMC against A375 and K562 target cells is given in Table 1. As can be seen in Table 1, several of the viral antigens described above were shown to significantly enhance natural cytotoxicity ( $P < 0.001$ ). These included the NS1 antigen and protein derivatives containing the  
25   first 81 amino acids of NS1. In particular, NS1, C13, Δ13, M42 and M45 antigens were potent augmenters of human natural cytotoxicity; NS1 antigen titrating down to 1 μg/ml concentration (Table 1). M30 antigens also augmented human natural cytotoxicity. In addition,  
30   supernatants from overnight cultures which showed enhanced cytotoxicity contained detectable, and often high interferon (IFN) levels (80 to 1280 IFN Units/ml). Antigens

1 containing the entire HA molecule (C7), a truncated  
version of this molecule (Δ7) or the HA2 amino acid  
sequence (C36) failed to significantly augment natural  
cytotoxicity or induce IFN in PBMC cultures.

5 In view of these findings, further studies were  
undertaken to establish more precisely the specificity of  
the activated cytotoxic effectors, and the conditions  
under which augmentation optimally occurs. Effector  
lymphocytes incubated with NS1 protein (10 μg/ml) showed  
10 a significant increase in cytotoxicity ( $P = <0.001$ ),  
against a wide variety of target cells including K562,  
RAJI, COLO205 and SW742 cell lines. Again enhanced  
natural cytotoxicity correlated with high levels of IFN  
(1280 Units/ml) which was detected in supernatants derived  
15 from PBMC cultured with NS1 protein. Also, PBMC exposed  
to NS1 antigen for either 30 minutes or 2 hours, washed 3  
times with RPMI-FCS medium to remove residual antigen, and  
incubated overnight at 37° prior to testing against tumour  
target cells, showed a similar enhancement of cytotoxicity  
20 (Table 2). In this instance, the antiviral IFN titer in  
the supernatant increased proportionally with the time of  
exposure of PBMC to NS1 antigen.

Although analysis of proteins by polyacrylamide gel  
electrophoresis showed the preparation to be greater than  
25 80% pure for the influenza virus component, the  
possibility that contaminating components derived from the  
bacterial culture were, in part or whole, responsible for  
augmentation of natural cytotoxicity and IFN production  
was considered. The results of several experiments  
30 suggest that protein contaminants derived from the  
bacteria were not responsible for enhancing natural  
cytotoxicity, since preparations which augment NK activity  
(C13, Δ13, M45, M30) and those which had no effect on NK  
activity (C7, Δ7 C36) were purified by the same  
35

I procedure and contained the same minor protein  
contaminants. However, to investigate this further, mock  
antigen preparations were prepared either from the  
bacteria used for expression of the gene, or bacteria  
5 which contained the plasmid vector minus the influenza  
gene sequence. Mock antigen preparations derived either  
from the bacterial supernatant (mock NS1 preparation) or  
the insoluble fraction of the bacteria failed to signifi-  
cantly augment natural cytotoxicity or induce IFN; the  
10 level of endotoxin contamination present in these mock  
preparations was similar to that of the viral proteins  
which was usually less than 50 ng/ml, making it unlikely  
that free endotoxin was responsible for enhancing natural  
cytotoxicity or inducing IFN. In subsequent experiments  
15 using a commercially prepared E. coli endotoxin (0127:B8;  
Difco Laboratories, Detroit, MI) significant activation of  
natural cytotoxicity or the production of IFN upon  
overnight incubation with PBMC was not observed.  
Moreover, experiments were performed in the presence of  
20 polymyxin B, (which is known to bind to the lipid A  
portion of the endotoxin molecule, neutralizing  
many endotoxin-mediated effects, such as its capacity to  
activate macrophages to become tumoricidal). Antigen  
preparations were pretreated with polymyxin B (40 µg/ml  
25 final concentration) for 90 minutes at 37° prior to their  
addition to PBMC cultures. Following overnight incubation  
the recovered PBMCs were assayed for natural cytotoxicity  
against K562 and A375 target cells, and the results for  
A375 targets, using NS1, C13 and A13 antigens indicate  
30 that the presence of polymyxin B failed to influence the  
ability of antigen preparations to stimulate natural  
cytotoxicity, or to significantly reduce the level of IFN  
induced.

1       The preparations used in the studies described above  
also contained residual fragments of bacterial-derived  
nuclei acid; however, these were present in similar  
quantities in both 'mock' and antigen preparations, making  
5       it unlikely that these molecular species were responsible  
for stimulating PBMC natural cytotoxicity. In order to  
eliminate the possibility that low molecular weight  
contaminants contributed to the production of interferon  
and augmentation of natural cytotoxicity, NS1 antigen  
10       preparations were passed through Centricon membrane  
filters (Amicon Corp., Lexington, MA) which retain  
substances with a molecular weight greater than 10,000  
daltons. It was observed that the ability to augment  
natural killer cells was present only in the retentate  
15       fraction, and such observation suggests that the low  
molecular weight substances were not responsible for the  
observed stimulation.

20       II. The Nature of the Effector Cells Responding to NS1  
Antigen.

Limited studies were undertaken to ascertain the  
PBMC effector cell population responding to cloned viral  
antigen stimulation. It was noted in several experiments  
that nylon wool non-adherent lymphocytes could be aug-  
25       mented more readily by NS1 antigen than unfractionated  
PBMC. Table 3 illustrates this finding, thereby indicating  
that nylon wool adherent PBMC in some way interferes with  
IFN production and the enhancement of natural cytotoxicity.  
Percoll discontinuous gradient separation was used to  
30       determine further the characteristics of lymphocytes  
responding to NS1 antigen. Following fractionation, the  
combination 2/3 fraction, 4 fraction, 5 fraction, 6  
fraction and a pool of fractions 4, 5 and 6 were cultured  
for 18 hours at 37° in the presence or absence of NS1  
35       antigen. (See Materials and Methods portion of Examples

1 for details of cell populations present in percoll  
fractions.) The cells were subsequently harvested and  
assayed for cytotoxicity against A375 and K562 targets,  
and the culture supernatant collected for IFN  
5 determination. Interferon production was maximum in  
cultures of lymphocytes recovered from the low density  
region of the gradient (2/3 fraction). Lymphocytes  
recovered from this fraction also showed maximum augmen-  
tation of natural cytotoxicity against both A375 and K562  
10 cells. PBMC recovered from the high density regions of  
the gradient (4 fraction, 5 fraction and 6 fraction)  
failed to produce significant levels of interferon and  
showed no increased cytolytic activity following  
co-cultures with NS1.

15 To define further the characteristics associated  
with activated PBMC, nylon wool non-adherent PBMC were  
exposed to NS1 antigen for 18 hours at 37° and  
subsequently fractionated on 7-step percoll gradients.  
The results showed that the cytotoxicity of NS1 activated  
20 lymphocytes is recoverable in the 2/3 fraction (highly  
enriched for LGL's - See Materials and Methods) and 4  
fraction (low density regions) of percoll gradients,  
whereas lymphocytes recovered from the high density  
fraction (5 fraction), were highly enriched for SL's (See  
25 Materials and Methods) and showed no increased  
cytotoxicity towards tumour targets.

### III. Identity of Interferon Produced by Viral Protein Antigens Stimulated PBMC.

30 The IFN generated from PBMC cultured with NS1  
antigen or constructs containing a sequence of the NS1  
gene product was identified antigenically by neutralization  
with specific antisera. In all these assays, antiserum was  
used at a dilution capable of neutralizing in excess of  
35

1 5000 units of each species of IFN. Culture supernatant  
from either NS1 or C13 antigen-stimulated PBMC was  
incubated for one hour at 37° with each of the antisera  
and then assayed for residual antiviral activity. Antisera  
5 against IFNB and IFN $\gamma$  failed to reduce significantly the  
interferon titer of the culture supernatants; whereas,  
anti-IFN $\alpha$  antisera neutralized in excess of 90% of the  
antiviral activity (Table 4). In this and subsequent  
10 experiments, IFN $\alpha$  antiserum failed to cause complete  
neutralization of the interferon; however, a combination  
of antisera specific for IFN $\alpha$  and IFN $\gamma$  (but not IFN $\alpha$   
and IFNB) neutralized all the antiviral activity. Thus,  
it was concluded that although the majority of interferon  
produced as a result of stimulation with NS1 and C13  
15 influenza viral antigens is IFN $\alpha$ , a portion (less than  
10%) of the interferon present appears to be human IFN $\gamma$ .

#### IV. Evidence that Antigen Generated Interferon Mediates Enhancement of Natural Cytotoxicity.

20 Although both IFN $\alpha$  and low levels of IFN $\gamma$   
were generated when PBMC were co-cultured with purified  
influenza NS1 viral antigen, it remained to be established  
whether these lymphokines alone or together were respon-  
sible for the elevation of natural cytotoxicity. PBMC  
25 cultures were therefore incubated for 18 hours at 37°  
with NS1 antigen (10  $\mu$ g/ml final concentration) with or  
without the addition of specific anti-IFN $\alpha$  or anti-  
IFN $\gamma$  antiserum or the appropriate control sera. The  
results of a representative experiment, using A375 and  
30 K562 cells as targets show that in the presence of  
anti-IFN $\alpha$  but not anti-IFN $\gamma$  antiserum, enhancement of  
natural cytotoxicity by NS1 antigen is almost completely  
neutralized. The control antisera did not influence the  
degree of enhancement of natural cytotoxicity mediated by  
35

1 NS1 antigen. Antiviral interferon assays, performed on  
the 18-hour culture supernatants confirmed the absence of  
demonstrable IFN in the cultures treated with anti-IFN $\alpha$   
antiserum; whereas significant IFN activity was detectable  
5 in culture supernatant where PBMC showed enhanced natural  
cytotoxicity. It was concluded from these experiments  
that the generation of alpha interferon is responsible for  
potentiating natural cytotoxicity in this system.

10 V. Evidence that NS1 and C13 Antigen Stimulate Human  
Monocyte Tumoricidal Activity

Table 5 represents a preliminary experiment  
wherein NS1 and NS1 fusion protein C-13 were assayed for  
their ability to stimulate human monocyte tumoricidal  
15 activity following the experimental protocol described  
above. (See Materials and Methods)

The results indicated in Table 5 show that  
tumoricidal activity was detected in both the presence and  
absence of polymyxin B suggesting that the activity was  
20 not due entirely to the presence of LPS (a potent  
stimulator of monocyte cytotoxicity). These results  
suggest that NS1 gene products may modulate other effector  
mechanisms in addition to enhancing NK activity.

25

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35

1      TABLE 1.

Natural Cytotoxicity and IFN Production  
by Human PBMC Exposed to Influenza  
Virus Gene Products

5	% Cytotoxicity <sup>1</sup> Target Cell Line								IFN (units/ml)	
	A375					K562				
	Exp. No.	Antigen (conc. µg/ml)	E:T	20:1	10:1	5:1	20:1	10:1	5:1	
10	1.	-		4	2	0	25	12	5	≤1
		NS1 (10)		<u>31</u>	<u>14</u>	7	<u>60</u>	<u>41</u>	<u>24</u>	1280
		M30 (10)		<u>9</u>	2	1	<u>48</u>	<u>33</u>	<u>19</u>	16
		M42 (10)		<u>30</u>	<u>16</u>	3	<u>62</u>	<u>41</u>	<u>24</u>	>512
		M45 (10)		<u>26</u>	<u>11</u>	3	<u>64</u>	<u>40</u>	<u>20</u>	>512
15	2.	-		7	3	3	35	22	7	≤1
		NS1 (10)		<u>28</u>	<u>10</u>	<u>8</u>	<u>51</u>	<u>36</u>	<u>19</u>	8
		M42 (10)		<u>42</u>	<u>25</u>	<u>15</u>	<u>50</u>	<u>37</u>	<u>21</u>	250
		M45 (10)		<u>20</u>	<u>11</u>	5	<u>48</u>	<u>30</u>	<u>15</u>	8
		C13 (10)		<u>30</u>	<u>17</u>	5	<u>47</u>	<u>32</u>	<u>16</u>	80
20	3.	-		NT <sup>2</sup>	NT	NT	50	37	20	≤1
		C13 (50)		NT	NT	NT	<u>68</u>	<u>53</u>	<u>32</u>	512
		M30 (50)		NT	NT	NT	<u>60</u>	<u>47</u>	<u>26</u>	4
		Δ13 (10)		NT	NT	NT	<u>63</u>	<u>54</u>	<u>42</u>	>512
		NS2 (10)		NT	NT	NT	54	37	22	≤1
25		NS1 (50)		NT	NT	NT	<u>69</u>	<u>64</u>	<u>50</u>	1280
		NS1 (10)		NT	NT	NT	<u>68</u>	<u>61</u>	<u>43</u>	512
		NS1 (2)		NT	NT	NT	<u>66</u>	<u>55</u>	<u>36</u>	32
		NS1 (1)		NT	NT	NT	<u>66</u>	<u>52</u>	<u>33</u>	8
	30									

1. 4 hour <sup>51</sup>Cr-release assay. Cytotoxicity values underlined indicate statistically significant (P= 0.001) augmentation of natural cytotoxicity.

35      2. NT = Not Tested.



1 TABLE 2.

Natural Cytotoxicity and IFN Production  
by Human PBMC Following Exposure  
to NS1 Antigen

5

% Cytotoxicity<sup>1</sup>  
 E:T Ratio

10	Antigen	Exposure (hr): <sup>2</sup>	20:1	10:1	5:1	IFN (units/ml)
		-	50.4	37.1	19.9	0
15	NS1 (10 µg/ml)	18 hour	<u>67.8</u>	<u>61.3</u>	<u>43.3</u>	512
	NS1 (10 µg/ml)	2 hour	<u>70.3</u>	<u>57.6</u>	<u>38.8</u>	128
	NS1 (10 µg/ml)	1/2 hour	<u>67.8</u>	<u>53.7</u>	<u>67.4</u>	64

- 20 1. 4-hour <sup>51</sup>Cr-release assay. Cytotoxicity values underlined indicate statistically significant (P = <0.001) augmentation of natural cytotoxicity against K562 target cells.
- 25 2. Nylon wool non-adherent PBMC were incubated at 37°C with or without the addition of NS1 (10 µg/ml) for 1/2 hour or 2 hours, washed three times (RPMI-FBS) and reincubated at 37°C for 17-1/2 hours and 16 hours respectively. Similar cultures were incubated at 37°C
- 30 with or without NS1 (10 µg/ml) for 18 hours.

1      TABLE 3.Unfractionated vs. Nylon Wool Non-AdherentPBMC Natural Cytotoxicity -

5

Augmentation by NS1 Antigen

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Target	18 hour/Culture PBMC      Antigen	<u>Experiment 1</u>		<u>Experiment 2</u>	
		% Cytotoxicity <sup>1</sup>	IFN <sup>2</sup>	% Cytotoxicity <sup>1</sup>	IFN <sup>2</sup>
15	A375P    Unf      -	1.3	<1	0	<1
	NS1	5.5	<1	1.5	<5
	NW-EL    -	1.1	<5	3.3	<1
	NS1	<u>22.2</u>	640	<u>41.2</u>	320
20	K562     Unf      -	7.3	<1	9.3	<1
	NS1	<u>17.3</u>	<1	9.1	<5
	NW-EL    -	30.8	<5	28.8	<1
	NS1	<u>57.8</u>	640	<u>60.9</u>	320

25

1. 4-hour <sup>51</sup>Cr-release assay. Underlined values indicate statistically significant (P = <0.001) augmentation of natural cytotoxicity. (E:T = 10:1).

2. Units of IFN per ml. of culture supernatant.

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1 TABLE 4.Antigenic Identification of NS1 and C13 Generated HuIFN

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<u>Antigen</u>	<u>Residual Interferon Units per Milliliter</u>						
	<u>Antisera<sup>1</sup></u>						
	-	$\alpha$	$\beta$	$\gamma$	$\beta+\gamma$	$\alpha+\beta$	$\alpha+\gamma$
NS1-SN <sup>2</sup>	1024	32	512	512	512	4	0
C13-SN	256	8	256	128	256	8	0
C13-SN	512	4	512	512	NT <sup>4</sup>	NT	NT
Cont. <sup>3</sup> IFN $\alpha$	4000	0	4000	4000	NT	NT	NT
Cont. IFN $\beta$	512	128	0	512	NT	NT	NT
Cont. IFN $\gamma$	512	512	512	1	NT	NT	NT

1. Antisera specific for human IFN $\alpha$ , IFN $\beta$  or IFN $\gamma$  used alone or in combination.

2. 18 hour culture supernatant from PBMC incubated with 10  $\mu$ g/ml antigen.

3. Specificity controls for IFN $\alpha$ , IFN $\beta$ , IFN $\gamma$ .

4. NT = Not Tested

1      TABLE 5.

Stimulation of Human Monocyte Cytotoxicity  
By Influenza Virus Antigens NS1 & C13

5

	Stimulus	<u>Percent Cytotoxicity of A375 Tumor Cells</u>	
		No Rx	Polymyxin B <sup>a</sup>
10	NS1 25 µg/ml	76.6	76.5
	5	75.7	68.6
	1	77.3	22.4
	0.2	24.3	13.5
15	C13 25 µg/ml	80.0	72.5
	5	71.8	15.6
	1	16.3	0.6
	0.2	12.5	0
20	LPS 10 ng/ml	85.8	2.6
	1	68.8	1.9
	0.1	27.7	8.0
	0.01	4.7	9.6
	0.001	1.9	6.1
	Polymyxin B <sup>a</sup> 20 µg/ml	0	N.D. <sup>b</sup>

a. Stimulus treated with 40 µg/ml of Polymyxin B at 37° for 1 hr prior to addition to human monocyte culture (1:2 dilution).

b. N.D. = Not done

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EXAMPLE - PARENTERAL PHARMACEUTICAL COMPOSITION

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A pharmaceutical composition of this invention suitable for parenteral administration is prepared by admixing 25 mg of NS1 in a solution containing sufficient phosphate buffer to adjust the pH to approximately 6.0; then adding sufficient sodium chloride to render the solution isotonic, and adjusting the solution to final volume with water.

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EXAMPLE - PHARMACEUTICAL COMPOSITION FOR  
ADMINISTRATION BY INHALATION

5 A pharmaceutical composition of this invention for  
administration by inhalation is prepared according to the  
following for an aerosol container with a capacity of  
15-20 ml: Dissolve 10 mg of NS1 with ethanol (25% to  
adjust to volume), and disperse such in a 40:60 ratio of  
Freon 12: Freon 114 and 0.1% Span 85, and put such  
10 dispersion in an appropriate aerosol container adapted for  
either intranasal or oral inhalation administration.

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What is claimed:

1. An immunomodulating pharmaceutical composition comprising an effective, leukocyte interferon production inducing amount of NS1 and a pharmaceutically acceptable carrier or diluent.
2. The composition of Claim 1 which comprises the product of the N-terminal 81 amino acids of the NS1 coding sequence fused to the matrix protein coding sequence.
3. The composition of Claim 1 which comprises the product of the N-terminal 81 amino acids of the NS1 coding sequence fused to the C-terminal 50 amino acids of the matrix protein coding sequence.
4. The composition of Claim 1 which comprises the product of the N-terminal 81 amino acids of the NS1 coding sequence fused to the N-terminal 90 amino acids of the matrix protein coding sequence.
5. The composition of Claim 1 which is in parenteral dosage form.
6. The composition of Claim 5 which comprises from about 0.05 to 1.0 mg per kilogram of total body weight of the NS1 gene product or functional derivative thereof.
7. The composition of Claim 6 which comprises from about 0.05 to about 0.25 mg/kg of total body weight of the NS1.
8. The composition of Claim 1 which is in oral dosage form.
9. The composition of Claim 1 which is in a dosage form for administration by inhalation.
10. The use of NS1 for the preparation of a medicament to be used in a therapeutic method of

modulating the immune response in a human, or other animal, in need thereof by inducing the production of leukocyte IFN.

11. The use of Claim 10 wherein the NS1 is the product of the N-terminal 81 amino acids of the NS1 coding sequence fused to the HA2 coding sequence.

12. The use of Claim 10 wherein the NS1 is the product of the N-terminal 81 amino acids of the NS1 coding sequence fused to the N-terminal 69 amino acids of the HA2 coding sequence.

13. The use of Claim 10 wherein the NS1 is the product of the N-terminal 81 amino acids of the NS1 coding sequence fused to the matrix protein coding sequence.

14. The use of Claim 10 wherein the NS1 is the product of the N-terminal 81 amino acids of the NS1 coding sequence fused to the C-terminal 50 amino acids of the matrix protein coding sequence.

15. The use of Claim 10 wherein the NS1 is the product of the N-terminal 81 amino acids of the NS1 coding sequence fused to the N-terminal 90 amino acids of the matrix protein coding sequence.

16. The use of Claim 10 wherein the form of the medicament is suitable for oral administration.

17. The method of Claim 10 wherein the form of the medicament is suitable for parenteral administration.

# INTERNATIONAL SEARCH REPORT

International Application No PCT/US87/02218

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>3</sup> According to International Patent Classification (IPC) or to both National Classification and IPC IPC(4): A61K 45/02, 39/145, 37/02; C12P 21/00 U.S.C1.: 424/85,89;514/12;435/68		
<b>II. FIELDS SEARCHED</b> Minimum Documentation Searched <sup>4</sup> Classification System   Classification Symbols U.S. 424/85,88,89;514/2,12;435/68,811		
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>4</sup> Online Computer Search of Chemical Abstracts 1967-1987 Search terms: Influenza virus A and interferon induction.		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>14</sup>		
Category <sup>6</sup>	Citation of Document, <sup>15</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>14</sup>
X Y	Proc. Natl. Acad. Sci., Volume 80, issued October 1983 (USA), Young, "Efficient Expression of Influenza Virus NS1 Nonstructural Proteins in Escherichia Coli". See pages 6105-6106.	1 5-9
X Y	EP, A, 017643 (SMITHKLINE BECKMAN CORPORATION) 02 April 1986. See pages 8, 11 and 12.	3 2,4
<p><sup>*</sup> Special categories of cited documents: <sup>13</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search <sup>2</sup> 09 NOVEMBER 1987 International Searching Authority <sup>1</sup> ISA/US		Date of Mailing of this International Search Report <sup>3</sup> 04 DEC 1987 Signature of Authorized Officer <sup>10</sup> Blondel Hazel